

Patent
241/120

To: Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL - UTILITY

PTO
920047/60
S. N. 06507
56/22/99

12/22/99
jc545 U.S. PTO

Sir:

Transmitted herewith for filing is a **utility** patent application:

Inventor(s): Yue-Teh JANG, Ross S. Tsugita, Bruce S. Addis, Tracy D. Maahs, and
Jean C. Chang

Title: ENDOLUMINAL OCCLUSION-IRRIGATION CATHETER WITH
ASPIRATION CAPABILITIES AND METHODS OF USE

I. PAPERS ENCLOSED HEREWITH FOR FILING UNDER 37 CFR § 1.53(b):

- 13 Page(s) of Written Description
11 Page(s) Claims
1 Page(s) Abstract
10 Sheets of Drawings ☒ Informal ☐ Formal

II. ADDITIONAL PAPERS ENCLOSED IN CONNECTION WITH THIS FILING:

- ☒ Declaration
☒ Power of Attorney ☒ Separate ☐ Combined with Declaration
☒ Assignment to EMBOL-X, Inc. and assignment cover sheet
☒ Verified Statement establishing "**Small Entity**" under 37 CFR §§ 1.9 and 1.27
☐ Priority Document No(s):
☒ Information Disclosure Statement w/PTO 1449 ☒ Copy of Citation
☒ Preliminary Amendment
☒ Return Postcard

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CERTIFICATE OF MAILING (37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' (Label No. EL508736143US) in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

December 22, 1999
Date of Deposit

Cynthia B Pacheco
Cynthia B. Pacheco

III. THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:

BASIC FILING FEE:							\$760.00
Total Claims	24	–	20	=	4	x \$18.00	\$72.00
Independent Claims	6	–	3	=	3	x \$78.00	\$234.00
Multiple Dependent Claims	\$260	(if applicable)				<input type="checkbox"/>	\$0.00
TOTAL OF ABOVE CALCULATIONS							\$1,066.00
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28. If applicable, Verified Statement must be attached.							\$533.00
Misc. Filing Fees (Recordation of Assignment — \$40)							\$0.00
TOTAL FEES DUE HERewith							\$533.00

IV. METHOD OF PAYMENT OF FEES

- ☒ Charge Lyon & Lyon's Deposit Account No. 12-2475 in the amount of \$533.00. A duplicate copy of this document is attached.
- ☐ This application is being filed without fee or Declaration under 37 CFR § 1.53.

V. AUTHORIZATION TO CHARGE FEES

The Commissioner is authorized to credit any overpayment and to charge any underpayment to Lyon & Lyon's Deposit Account No. 12-2475 for the following:

- ☒ 37 CFR § 1.16 – (Filing fees and excess claims fees)
- ☒ 37 CFR § 1.17 – (Any application processing fees)
- ☒ 37 CFR § 1.21 – (Assignment recording fees)

VI. CORRESPONDENCE ADDRESS

Please send all correspondence to Customer Number 22249:



22249

PATENT TRADEMARK OFFICE

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Respectfully submitted,

LYON & LYON LLP

Dated: December 22, 1999

By: John Kappos
John Kappos, Reg. No. 37,861
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